

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD28056-5

In Re Application Of: **Brown et al.**

Serial No.
to be assigned

Filing Date
herewith

Examiner
to be assigned

Group Art Unit
to be assigned

Title: **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

3. ☒ A list of documents on form PTO-1449 previously submitted. Each of the identified documents was cited by or submitted to the USPTO in the pending prior application Serial No. 09/941,050 filed on 08/28/2001 entitled TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD by the following inventor(s): Sterling Bruce Brown, Hans Peter Brack, James Anthony Cella and Dennis Karlik, which is relied upon for in a earlier filing date under 35 U.S.C. 120. Please consider these documents in the prosecution of this case.

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TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-0862** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

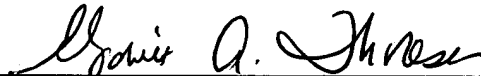
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited **September 26, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Elizabeth A. Thoresen

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Dated: September 26, 2003

Frank A. Smith - Registration No. 39,375
General Electric Company
One Plastics Ave.
Pittsfield, MA 01201
phone: (413) 448-7604
Customer No. 25743

CC:

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number RD28056-5		Serial Number To be assigned					
										Name of Applicant Brown et al.							
										Filing Date herewith				Group To be assigned			
U.S. PATENT DOCUMENTS																	
* Examiner Initials		Document Number							Date		Name		Class	Subclass	Filing Date if Appropriate		
		AA	4	9	2	7	8	9	4	05/22/90	Brown						
		AB	5	0	3	4	5	2	7	07/23/91	Brown et al.						
		AC	5	1	1	5	0	4	3	05/19/92	Yates, III et al.						
		AD	5	1	3	2	3	7	3	07/21/92	Khouri et al.						
		AE	5	2	1	0	1	9	1	05/11/93	Phanstiel et al.						
		AF	5	2	6	4	4	9	6	11/23/93	Brown et al.						
		AG	5	3	2	4	7	9	6	06/28/94	Han						
		AH	3	9	5	7	7	2	8	05/18/76	Neuray et al.						
		AI	3	9	7	8	1	5	9	08/31/76	Neuray et al.						
		AJ	4	0	9	2	2	4	3	05/30/78	Neuray et al.						
FOREIGN PATENT DOCUMENTS																	
		Document Number							Date		COUNTRY		Class	Subclass	TRANSLATION YES NO		
		AK	EP0703261B1 (abstract)							03/27/96		European					
		AL	EP0794209A2							09/10/97		European					
		AM	EP0980861A1							02/23/00		European					
		AN															
		AO															
		AP															
OTHER INFORMATION (Including Author, Title, Date, Pertinent)																	
		AQ	White and Loucks in ACS Symposium Series, volume 282 (Reactive Oligomers), pp. 187-197 (1985)														
		AR	Kirk-Othmer Encyclopedia of Chemical Technology, Fourth Edition, Volume 19, pp. 584-600														
		AS	Relationship between the end-cap structure of polycarbonates and their impact resistance, Mayasa Okamoto, Polymer Research Laboratory, Idemitsu Petrochemical Co., Ltd., Received 9 January 2001; accepted 18 April 2001														
EXAMINER												DATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.																	

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	AA	5	6	9	6	2	2	2	12/09/97	Kaneko et al.					
	AB	5	3	5	2	7	4	5	10/04/94	Katayose et al.					
	AC	3	3	0	6	8	7	4	02/28/67	Hay					
	AD	3	3	0	6	8	7	5	02/28/67	Hay					
	AE	3	9	1	4	2	6	6	10/21/75	Hay					
	AF	4	0	2	8	3	4	1	06/07/77	Hay					
	AG	4	0	5	4	5	5	3	10/18/77	Olander					
	AH	4	0	9	2	2	9	4	05/30/78	Bennett, J. et al.					
	AI	4	4	7	7	6	5	1	10/16/84	White et al.					
FOREIGN PATENT DOCUMENTS															
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	AK														
	AL														
	AM														
	AN														
	AO														
	AP														
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U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	5	1	7	3	4	1	05/14/85	White			
	AB	4	2	1	7	4	3	8	08/12/80	Brunelle et al.			
	AC	5	8	8	0	2	4	8	03/09/99	Sakashita et al.			
	AD	5	2	2	9	5	1	3	07/20/93	Brown et al.			
	AE	4	8	9	5	9	4	5	01/23/90	Brown et al.			
	AF	5	0	0	1	2	0	1	03/19/91	Brown			
	AG	5	3	5	7	0	2	7	10/18/94	Komatsu			
	AH	6	1	4	0	4	5	7	10/31/00	LeGrand et al.			
	AI	6	1	4	0	4	5	7	10/31/00	LeGrand et al.			
	AJ	5	2	4	2	9	7	3	09/07/93	Komatsu			

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	AN									
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	AP									

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	AS									

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		AA	5	2	7	1	9	6	8	12/21/93	Coyle et al.									
		AB	4	9	9	7	8	8	5	03/05/91	Brown									
		AC	5	0	9	6	9	7	9	03/17/92	Brown et al.									
		AD	5	1	9	4	5	1	7	03/16/93	Blubaugh et al.									
		AE	5	0	4	1	5	0	4	08/20/91	Brown et al.									
		AF	5	1	0	0	9	6	1	03/31/92	Brown et al.									
		AG	5	0	6	8	2	8	6	11/26/91	Campbell et al.									
		AH	5	0	8	9	5	6	6	02/18/92	Brown et al.									
		AI	5	1	5	3	2	6	7	10/06/92	Brown et al.									
		AJ	5	5	3	9	0	6	2	07/23/96	Brown et al.									
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	AA	5	1	2	2	5	7	8	06/16/92	Han et al.					
	AB	5	3	8	5	9	8	4	01/31/95	Blohm et al.					
	AC	5	0	3	0	6	9	3	07/09/91	Brown et al.					
	AD	5	0	6	2	8	8	2	11/05/91	Newton					
	AE														
	AF														
	AG														
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